# SCOAP$^3$

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## Masterclass on Open Science and Publishing

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# Publication Models (lightning overview)

without open access:

- Subscription model (Traditional)

with open access:

- Green Open Access (Self-archiving)
- Gold Open Access; special cases
  - Diamond (Platinum) Open Access
  - Bronze Open Access
- Hybrid (mixture between subscription model and OA)

Definitions (for the most part) taken from ‘Publier en Physique dans un monde de science ouverte’, INP-CNRS

# A (somewhat) different approach: SCOAP$^3$

sometimes called: 'institutional gold open access'
'diamond open access for a discipline'

Sponsoring Consortium for Open Access Publishing in Particle Physics

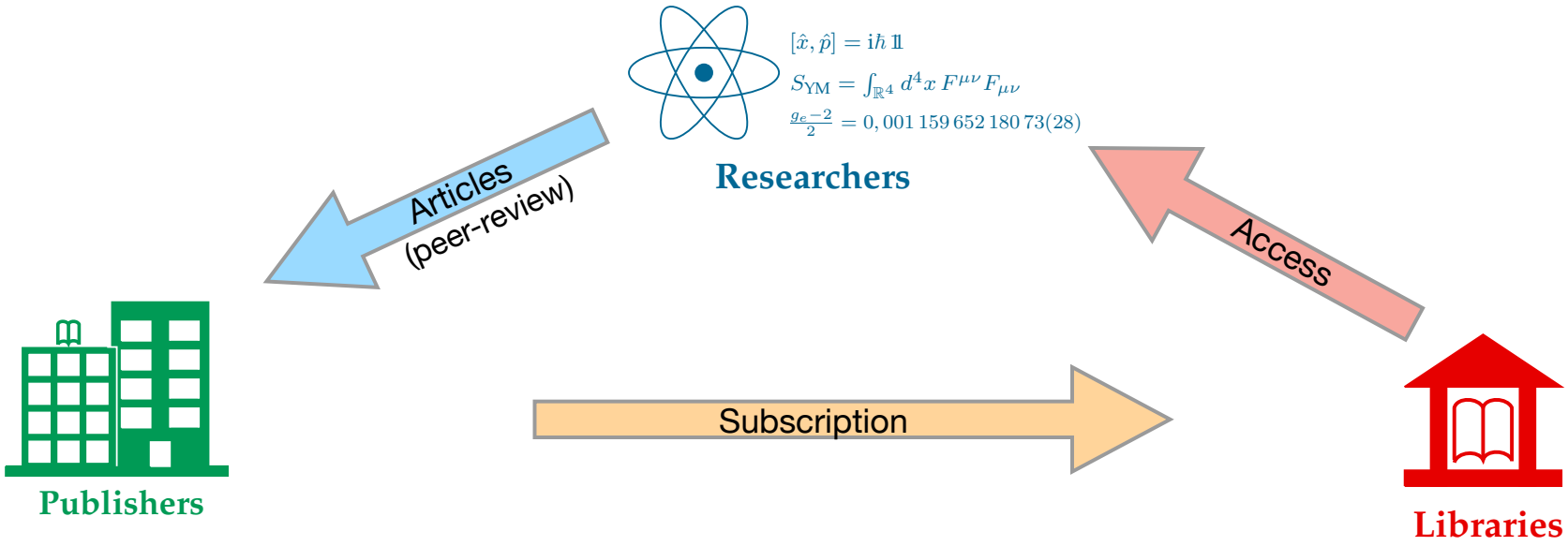
starting point: Jan 2014

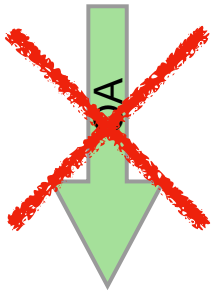
discipline: High Energy Physics (HEP), particle physics
around 7500 articles/year worldwide: 90% of all articles in the field (750 in France)

**Mission Statement:**

A global consortium to convert Particle Physics articles in high-quality journals to Open Access, at no burden for authors, mostly re-using existing funds.

# Typical Subscription Model



## problems with this model:

- no open access

  pay to read; direct access limited to subscribed members only

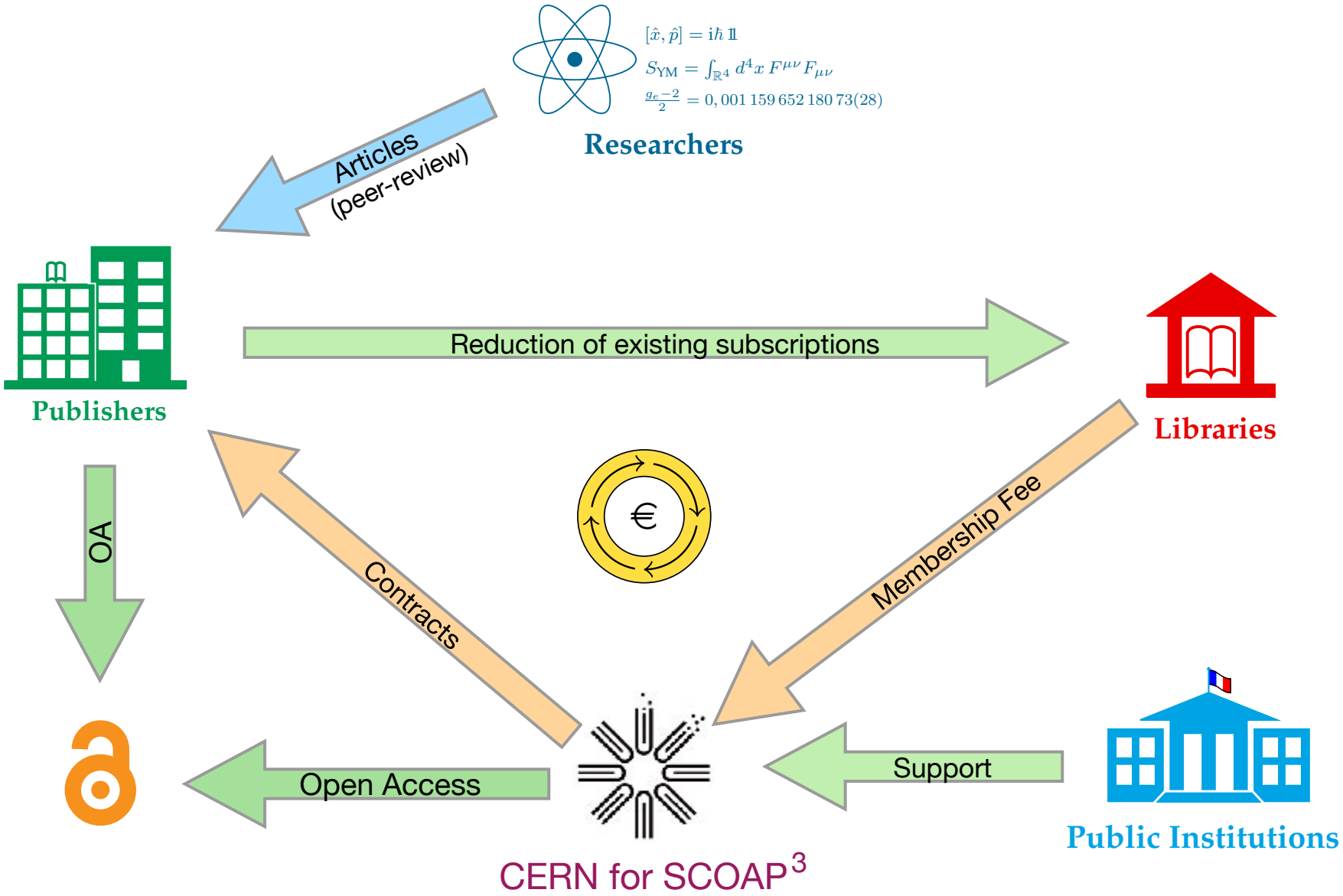
- no possibility to control the costs

  individual/national contracts between publishers and libraries/institutions

  favourable conditions for publishers

- Copyright (mostly) not retained by author

# SCOAP$^3$ Model: Basic Idea

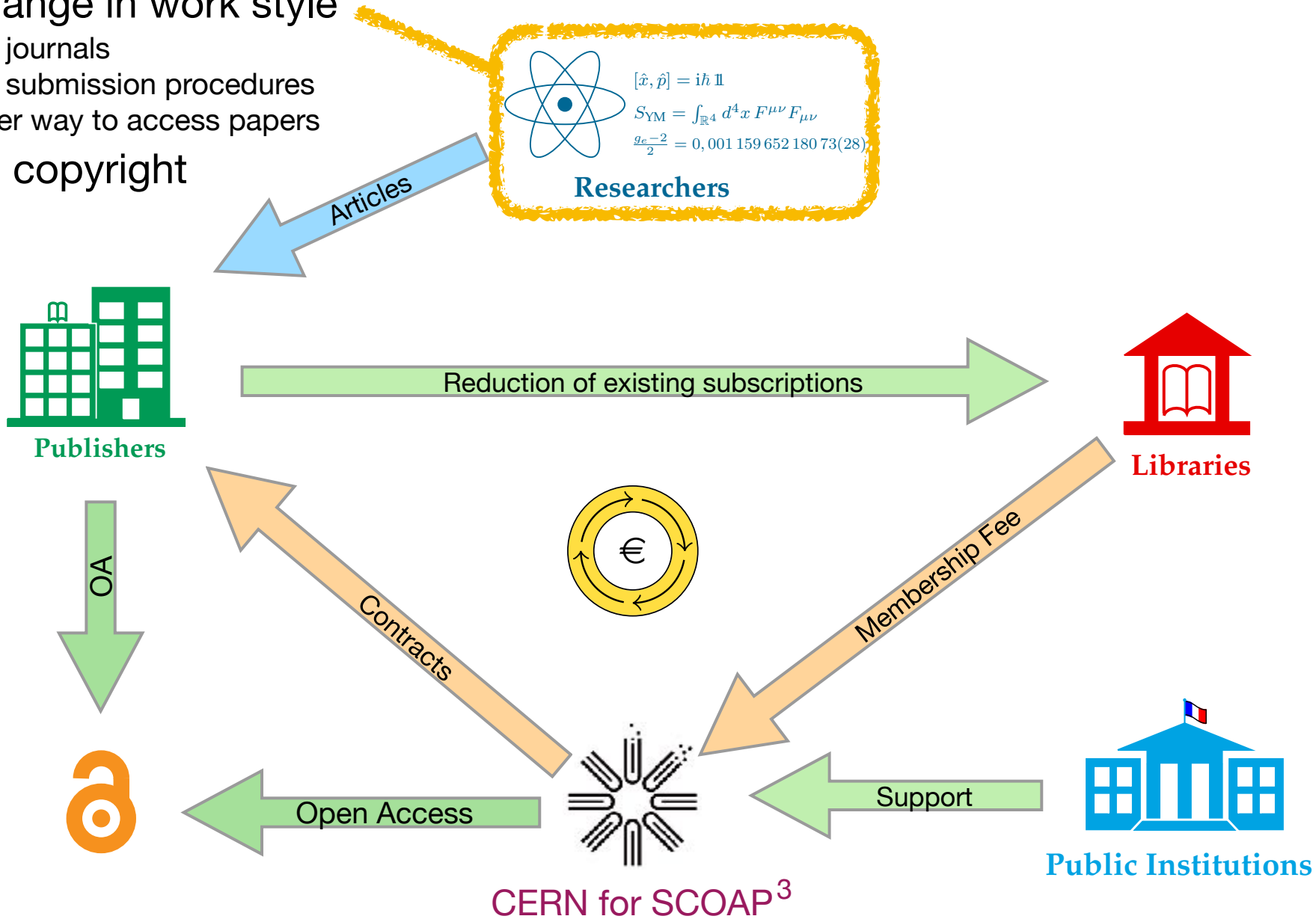


# SCOAP³ Model: Main Benefits

no change in work style

- same journals
- same submission procedures
- simpler way to access papers

retain copyright

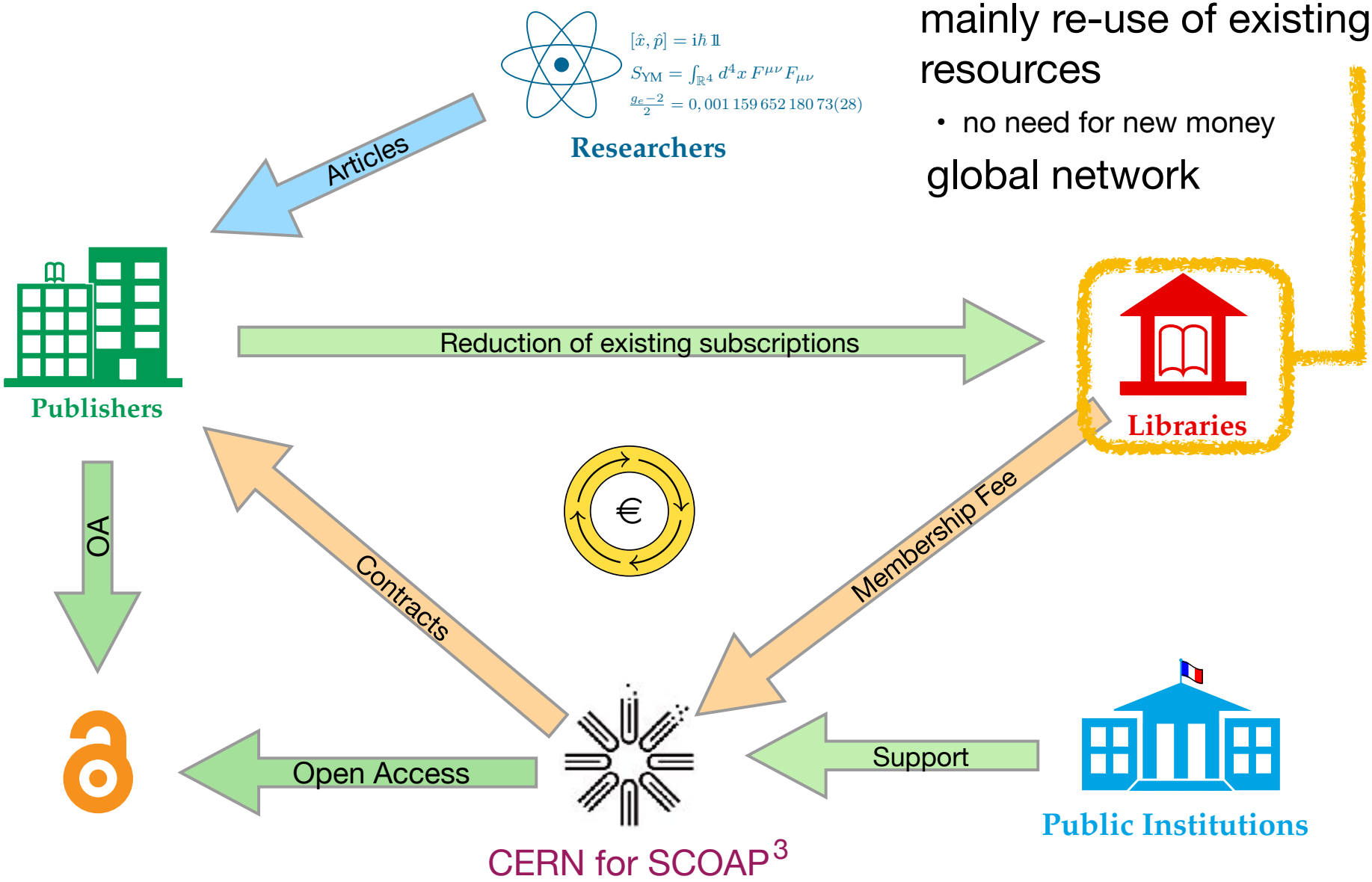


# SCOAP³ Model: Main Benefits

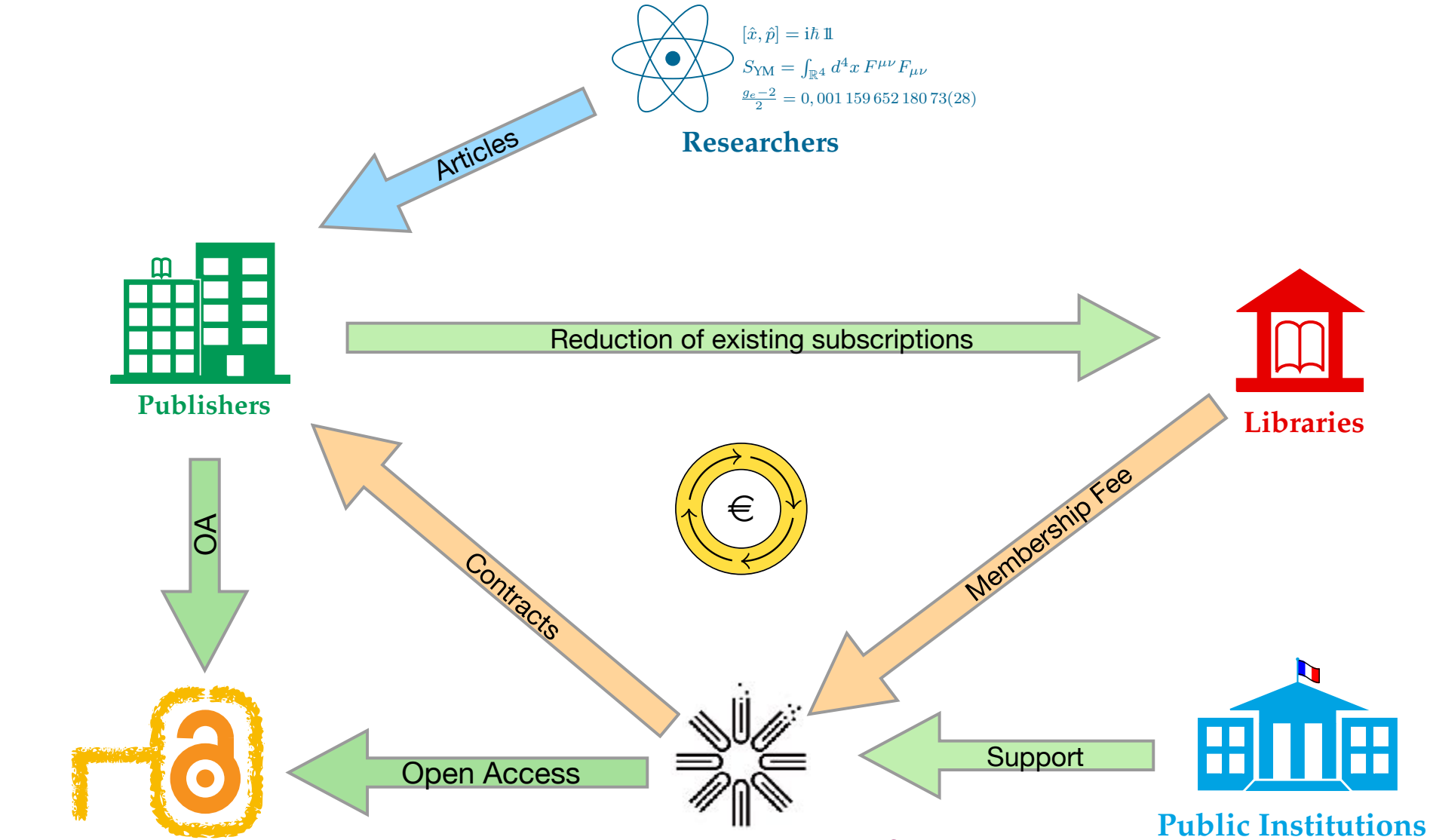
mainly re-use of existing resources

- no need for new money

global network



# SCOAP³ Model: Main Benefits

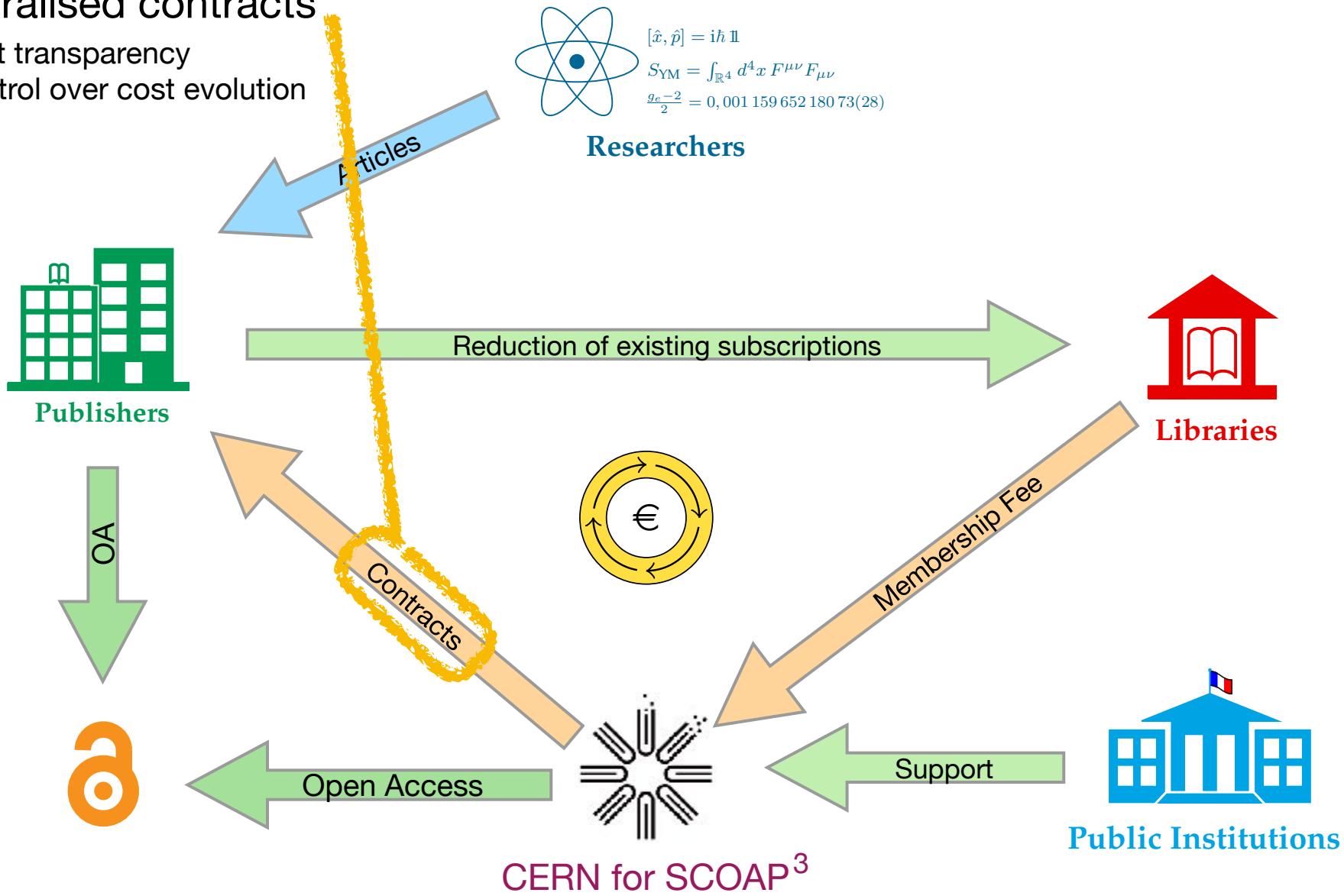



full open access
CC-BY licences

# SCOAP³ Model: Main Benefits

centralised contracts

- cost transparency
- control over cost evolution

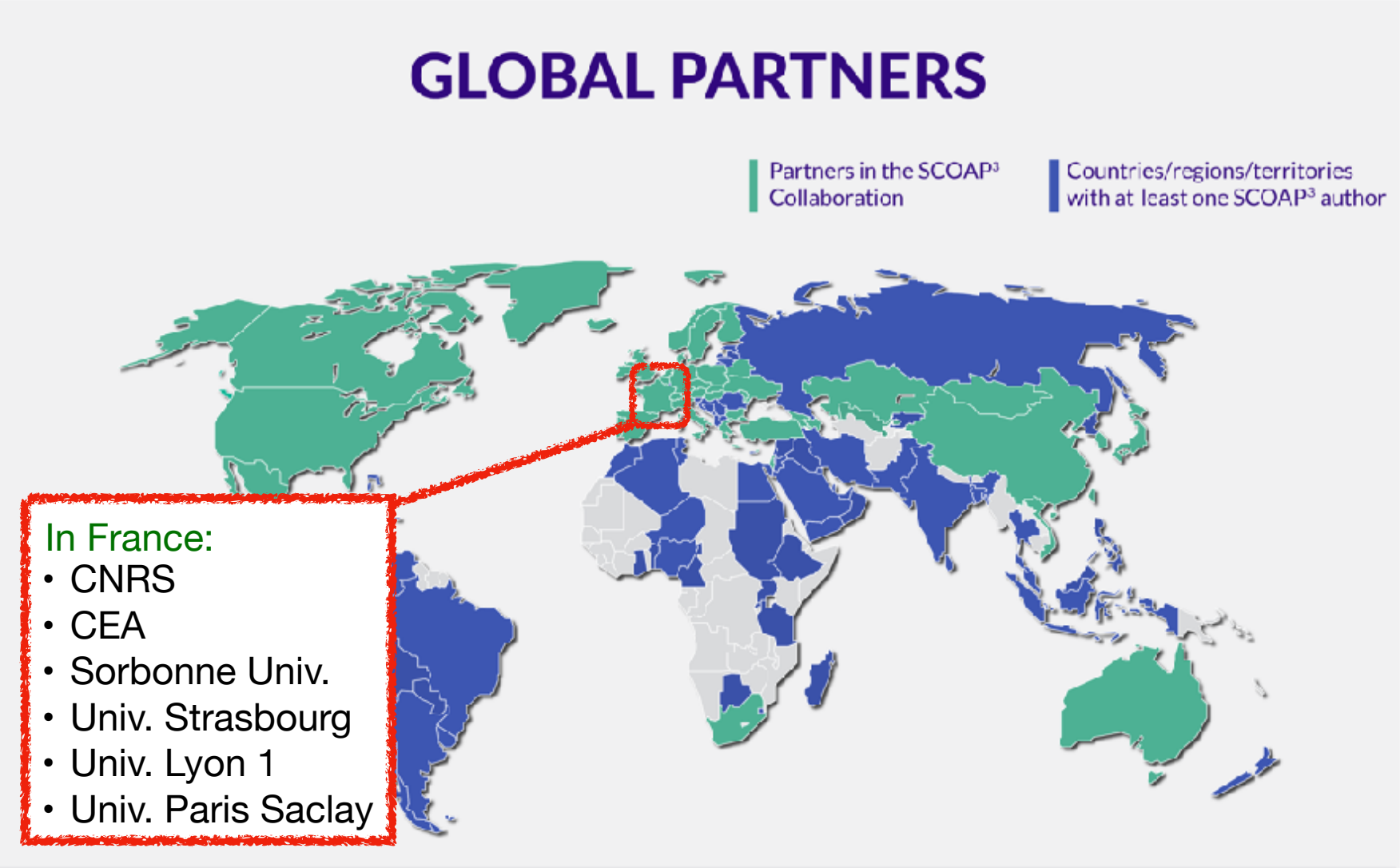


# SCOAP$^3$ : Implementation

Libraries

SCOAP$^3$
Sponsoring Consortium for
Open Access Publishing in Particle Physics

SCOAP$^3$ is a world-wide consortium:



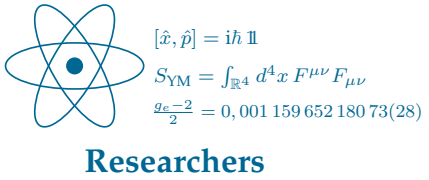

source: SCOAP3, 2022

3000 libraries and research institutes in 44 countries
3 intergovernmental institutions (CERN, IAEA, JINR)

research field: high energy physics

particle physics

(theoretical+experimental)




20.000 authors in 100 countries, 7500 articles per year

articles in the field are identified through the ArXiv

(open-access repository of electronic preprints; started in 1991)

i.e. submitted to one of the four hep categories:

- hep/th (theory)
- hep/ph (phenomenology)
- hep/exp (experiment)
- hep/lat (lattice)


choice made by the authors themselves

in France: authors in 80 institutions, 750 articles per year

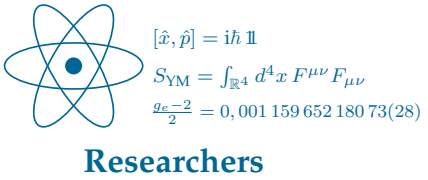
# SCOAP$^3$ partner journals:

| Publisher | Journals | % HEP | Articles published (26/05/2023) |
|---|---|---|---|
| APS (American Physical Society) (since 2018) | Physical Review C | 7% | 463 |
| | Physical Review D | 58% | 11.623 |
| | Physical Review Letters | 10% | 1.481 |
| Elsevier | Nuclear Physics B | 100% | 2.938 |
| | Physics Letters B | 100% | 7.789 |
| Hindawi | Advances in High Energy Physics | 42% | 1.113 |
| IOPp/DPG | New Journal of Physics (until 2016) | 3% | 25 |
| IOPp/SISSA | Journal of Cosmology and Astroparticle Physics (until 2016) | 30% | 654 |
| IOPp/CAS | Chinese Physics C | 33% | 691 |
| Jagiellonian U. | Acta Physics Polonica B | 6% | 154 |
| Oxford U. Press | Progress in Theoretical and Experimental Physics | 48% | 806 |
| Springer/SIF | European Physical Journal C | 100% | 8.777 |
| Springer/SISSA | Journal of High Energy Physics | 100% | 21.425 |

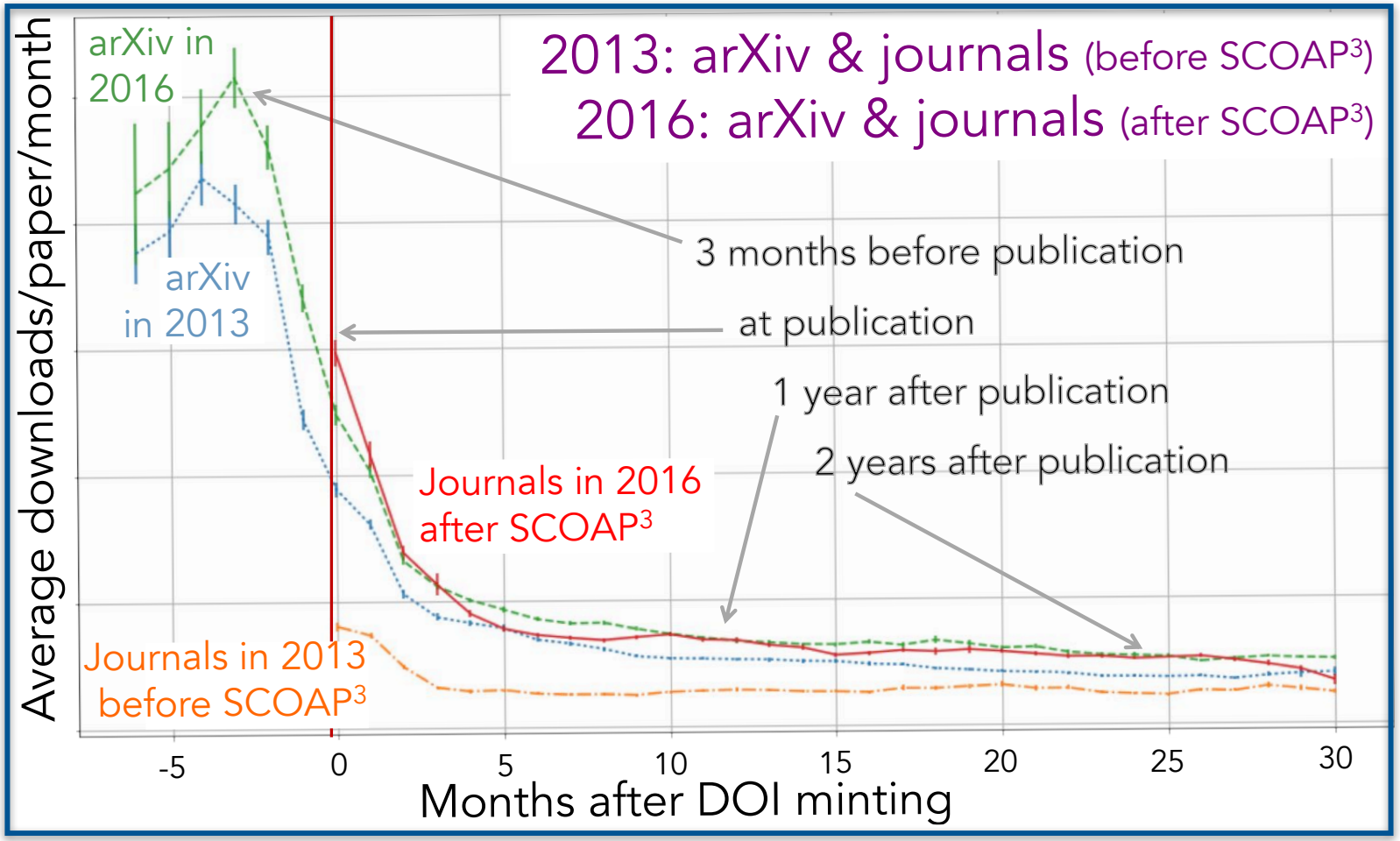
Total: 57.939

Accounts for roughly 8-9% of entire HEP literature

# Does it work?




- no direct costs (neither for reading nor publishing)
- real (monitored) open access: compliance at almost 100%
- higher visibility for articles




source: SCOAP3 webinar, 2017

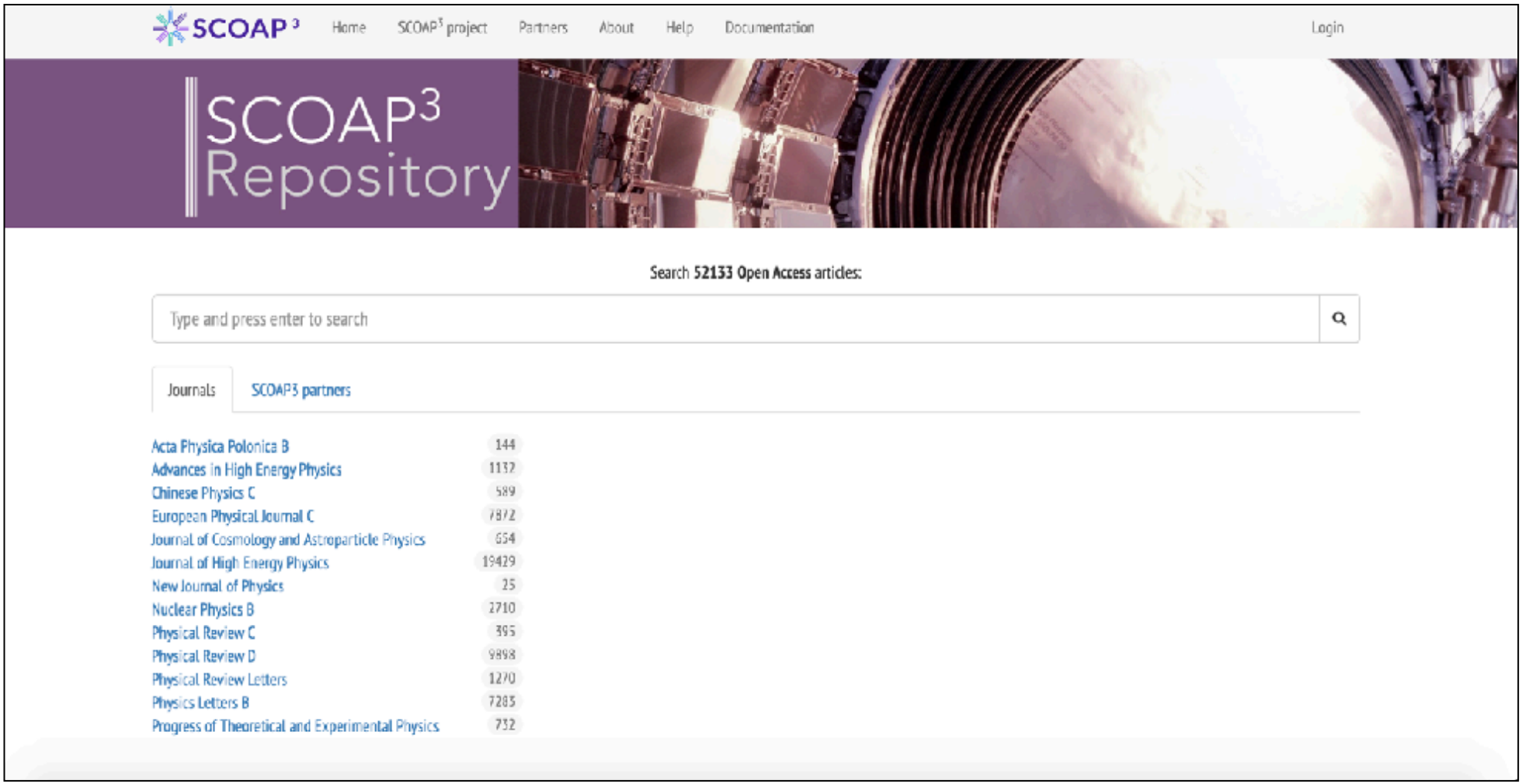
# access to the literature:

Researchers

- through the webpage of the publisher

  (immediate) open access monitored automatically by SCOAP [3]

- openly through the SCOAP[3] repository (https://repo.scoap3.org)



Public Institutions Libraries

- viable OA strategy without the need for additional resources
- transparency and stability of costs

| | Phase 1 (2014-16) | Phase 2 (2017-19) | Phase 3 (2020-22) |
|---|---|---|---|
| articles | 13.429 | 18.444 | est 23.000 |
| total costs | 13.8 MEuro | 22.1 MEuro | est. 29 MEuro |
| cost per article | 1.027 Euro | 1.198 Euro | 1.260 Euro |

Inclusion of 3 journals from APS

- powerful search engine in the form of SCOAP$^3$ repository
- high quality metadata through API search-system

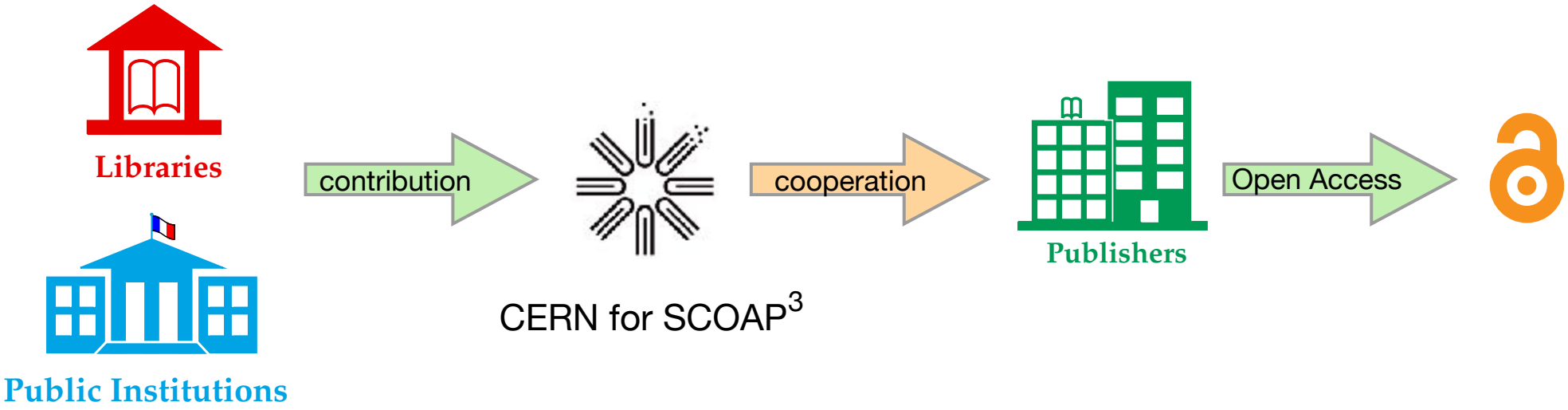
# Why does it work?

The system/model is based on a number of particularities:

- CERN:
  - international research organisation providing infrastructure
  - not only pools financial resources but covers deficit from missing contributions
- discipline of high energy physics:
  - ‘mature’ discipline with stable number of articles per year (slight growth >1%)
  - well defined perimeter, with small (and stable) number of high quality journals
- arXiv (green open access):
  - (almost) all of the literature available in the form of preprints
- world-wide scope of the consortium:
  - 3000 institutions and libraries working together on a global level for a common goal
  - from an economic perspective, there are (practically) no other ‘bidders’ on the market for scientific publications in HEP

# Further Activities: SCOAP$^3$ for Books

Idea: leverage the international network of institutions and support to open up books and monographs that are relevant for HEP

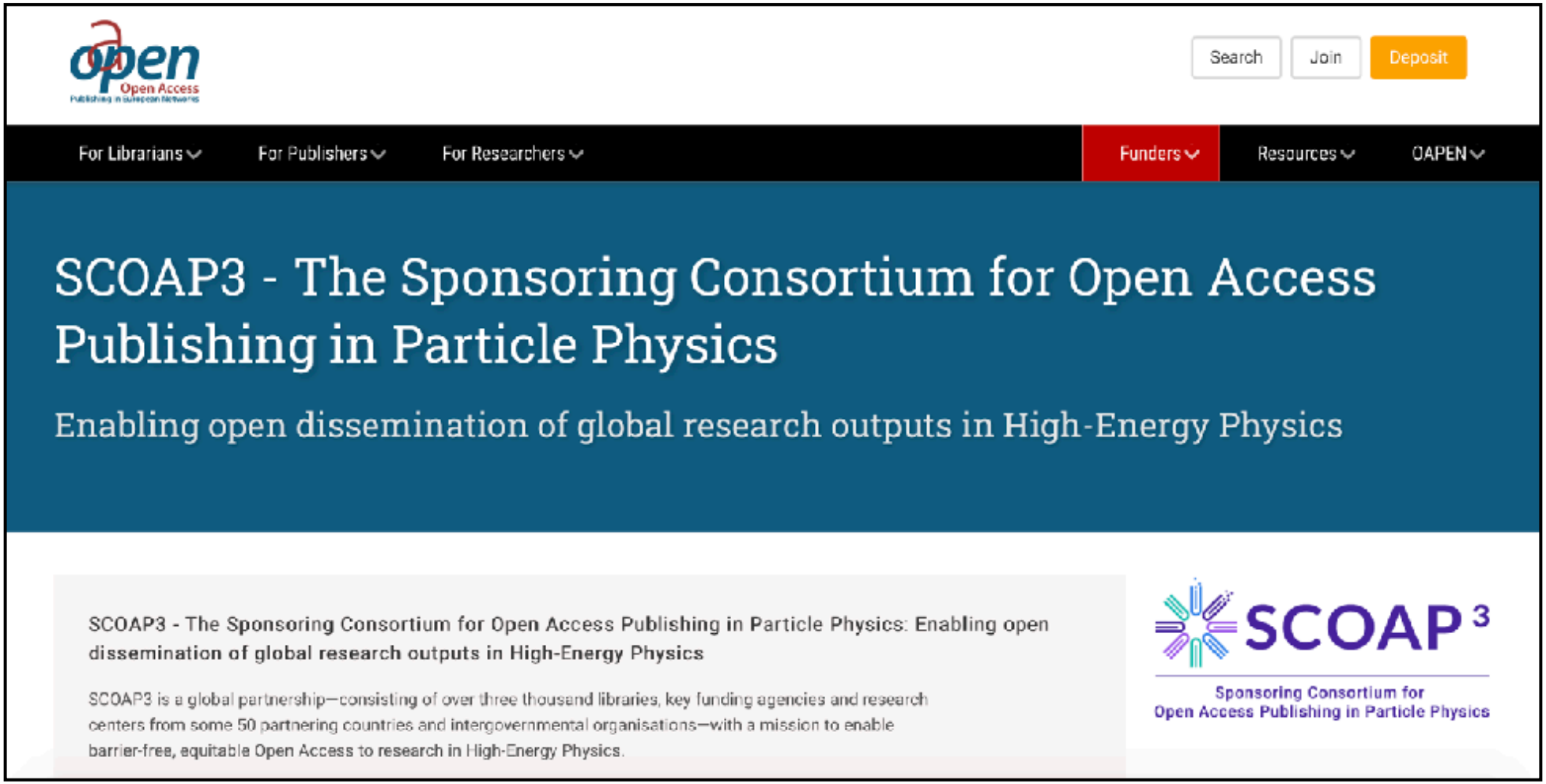



- SCOAP$^3$ partners opt-in to a central fund managed by CERN
- SCOAP$^3$ cooperates with the publishers to open up e-books relevant for the HEP community

  list of 60 titles selected by a working group of scientists and librarians based on usage data

- books are made available open access (as e-books)

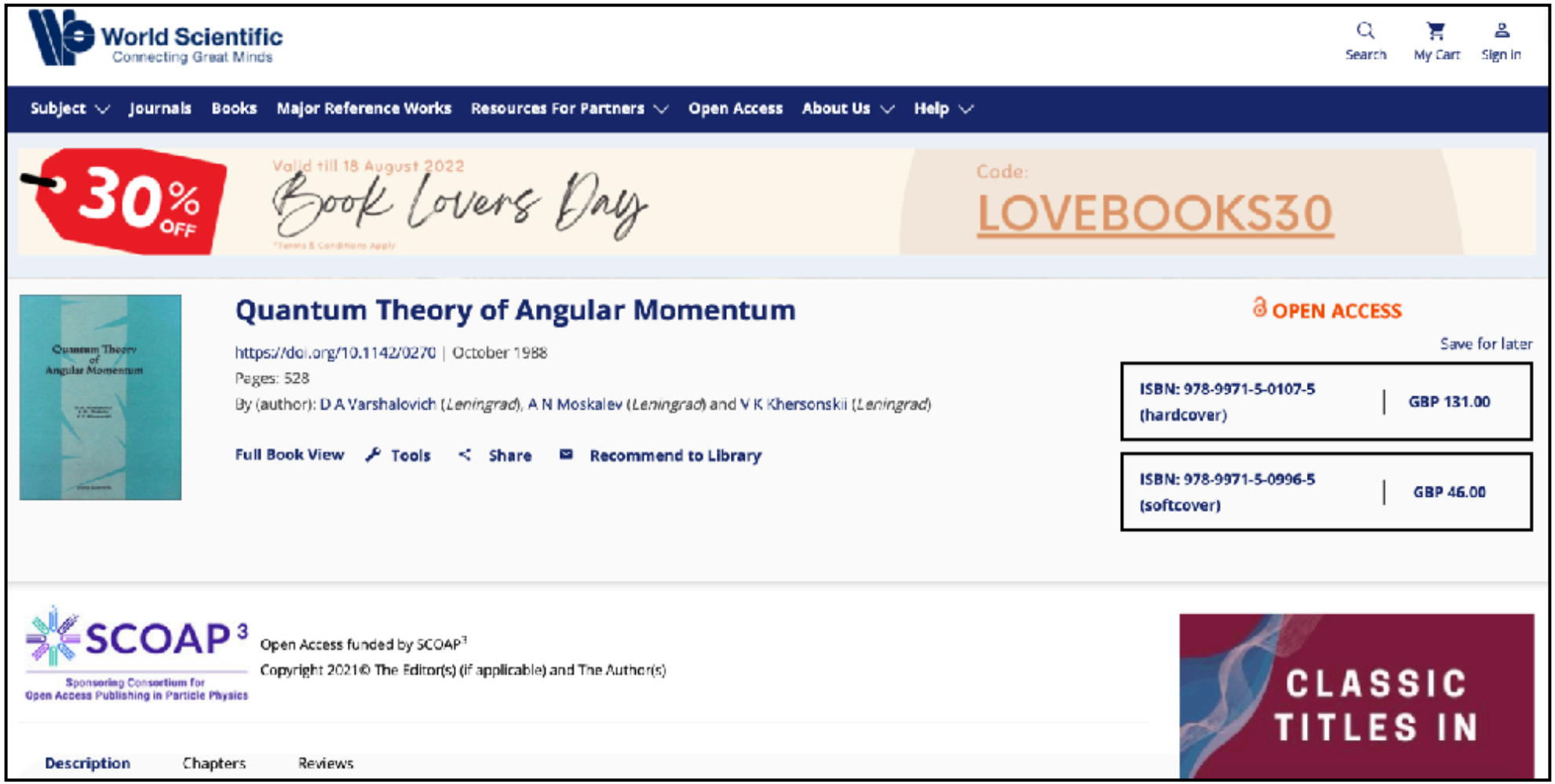
# Books are hosted in the SCOAP$^3$ collection of OAPEN.org



Books are hosted in the SCOAP$^3$ collection of OAPEN.org

also freely accessible through: • INIS repository (IAEA)

• Publisher homepage




in preparation: program for front-list books

# Summary

- SCOAP$^3$ is a consortium to sponsor open access publications in the field of high energy physics
- collaborative, global and transparent approach
- business model based on re-use of existing resources

  cost stability and transparency

  full and immediate open access

  authors retain copyright
- no burden for authors

  1. submit your article to the arXiv in one of the 4 hep-categories
  2. Submit your article to one of the partner journals (no APCs)
- unique in its form and adapted to the field of HEP
- it works: > 50.000 articles since 2014
- OA activities beyond the publication of research articles

  e.g. SCOAP$^3$ for books